

410 Rec'd PCT/PTO 30 MAY 2000

FORM PTO-1390
(REV. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INVENTOR'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

B-3942PCT 617908-5

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

not yet assigned 09/555465

INTERNATIONAL APPLICATION NO.

PCT/GB98/03632

INTERNATIONAL FILING DATE

4 December 1998

PRIORITY DATE CLAIMED

4 December 1997

TITLE OF INVENTION

"OBJECT GATEWAY"

APPLICANT(S) FOR DO/EO/US

(1) Robert Thomas Owen REES (2) Nigel John Edwards

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed and as published.
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
Check for \$40.00
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

copy of Form PCT/IPEA/416

copy of International Preliminary Examination Report

copy of PCT Request

copy of International Search Report (see enclosed Information Disclosure Statement)

U.S. APPLICATION NO. (37 CFR 1.53) no. 09/555465		INTERNATIONAL APPLICATION NO. PCT/GB98/03632		ATTORNEY'S DOCKET NUMBER B-3942PCT 617908-5	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) * (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	33 - 20 =	13 =	x \$ 18.00	\$ 234.00	
Independent claims	2 - 3 =	0	x \$ 78.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0*			+ \$260.00	\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 1074.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 1074.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1074.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 1074.00	
*Preliminary Amendment deleting multiple dependencies enclosed.				Amount to be refunded:	\$
				charged:	\$

- a. ☒ A check in the amount of \$ 1074.00 to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 12-0415. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

May 30, 2000
DATE

LADAS & PARRY
5670 Wilshire Blvd., #2100
Los Angeles, California 90036-5679
Telephone No.: (323) 934-2300
Telefax No.: (323) 934-0202

SIGNATURE

Richard P. Berg

NAME

28,145

REGISTRATION NUMBER

IN THE UNITED STATES DESIGNATED OFFICE DO/US)

REGARDING THE INTERNATIONAL APPLICATION OF
Robert Thomas Owen REES, et al.
ENTITLED: "OBJECT GATEWAY"
INTERNATIONAL APPLN. NO. PCT/GB98/03632
Our Ref: B-3942PCT 617908-5

Commissioner of Patents and Trademarks
BOX PCT
Washington, D.C. 20231

Attn: United States Designated/Elected Office (DO/EO/US)

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL394038080US

Date of Deposit: May 30, 2000

I hereby certify that the following attached papers or fee

- postcard
- check for \$1,074.00
- Transmittal Letter (2 pages)
- copy of PCT International Published Application
consisting of:
 - Title Page with Abstract (1 page)
 - Specification (42 pages)
 - Claims (4 pages)
 - Drawings (16 sheets)
- Preliminary Amendment (2 pages)
- Declaration/Power (2 pages)
- Information Disclosure Statement (Form PTO-1449 (Modified))
with cover sheet, copy of International Search Report
and copies of documents listed in Form PTO-1449
- Copy of Form PCT/IPEA/416
- Copy of International Preliminary Examination Report
- Copy of PCT Request
- Assignment Cover Sheet (1 page),
Assignment document (1 page), and Check for \$40.00

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231, Attn: United States Designated/Elected Office (DO/EO/US).

Karyn Lao

(Typed or printed name of person mailing paper or fee)

